

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Rene Staub et al.	Examiner:	Jamilia Williams
Serial No.:	10/521,192	Group Art Unit:	3722
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For:	INDIVIDUALIZED SECURITY DOCUMENT		

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(Printed Name)

(Signature)

**RESPONSE TO NOTICE OF NON-COMPLIANT APPEAL BRIEF**

Sir:

In response to the Notification of Non-Compliant Appeal Brief issued September 3, 2009, Appellant hereby submits a substitute Claims Appendix, including a clean copy of the claims and listing the claims with proper double-line spacing.

Respectfully submitted,

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## **VIII. CLAIMS APPENDIX**

The claims involved in the Appeal are:

1. (Previously presented) An individualized security document comprising:  
a number of pages joined together by a means of fixing or adhesive, wherein incorporated into the security document by the means of fixing or adhesive is a transfer film which is provided with security elements and which has a base film and a decorative layer arrangement which is provided with the security elements, the base film being releasably secured to the decorative layer arrangement, the decorative layer arrangement having an adhesive layer on a side remote from the base film, wherein the decorative layer arrangement having security elements is temporarily connected by means of a release layer to a carrier film which is permanently connected to the base film by means of a permanent adhesive.
2. (Previously presented) An individualized security document as set forth in claim 1, wherein the base film of the transfer film provided with security elements comprises a transparent or non-transparent plastic material.
3. (Previously presented) An individualized security document as set forth in claim 1, wherein the base film of the transfer film which is provided with security elements comprises paper.
4. (Canceled)
5. (Previously presented) An individualized security document as set forth in claim 3, wherein the base film has at least one window opening.
6. (Previously presented) An individualized security document as set forth in claim 1, wherein the decorative layer arrangement is applied in the form of a label to

the base film or to the carrier film which is permanently connected to the base film.

7. (Previously presented) An individualized security document as set forth in claim 1, wherein the decorative layer arrangement is laminated onto the base film or onto the carrier film which is permanently connected to the base film.

8. (Previously presented) An individualized security document as set forth in claim 1, wherein the decorative layer arrangement is transparent or partially transparent.

9. (Previously presented) An individualized security document as set forth in claim 1, wherein the base film and/or the carrier film is provided with markings and/or numberings.

10. (Previously presented) An individualized security document in particular as set forth in claim 1, wherein at least the base film is provided with a perforation for cutting out the base film or the base film with the carrier film permanently connected thereto.

11. (Previously presented) An individualized security document as set forth in claim 10, wherein the perforation is provided only in the base film and the decorative layer arrangement is laterally spaced from the perforation.

12. (Previously presented) An individualized security document as set forth in claim 10, wherein the perforation extends through the base film and through the decorative layer arrangement.

13. (Previously presented) An individualized security document as set forth in claim 10, wherein the perforation of the transfer film having security elements is provided in coincident relationship with the fixing or adhesive of the security document

and the transfer film provided with security elements is fixed by means of the fixing or adhesive in the security document.

14. (Previously presented) An individualized security document as set forth in claim 10, wherein the perforation of the transfer film having security elements is disposed laterally displaced with respect to the fixing or adhesive of the security document and the edge region of the transfer film, which edge region is defined by the perforation, is fixed in the security document by means of the fixing or adhesive in such a way that a base film strip remains on both sides of the fixing.

15. (Previously presented) An individualized security document as set forth in claim 14, wherein the base film comprises a security paper having security features.

16. (Previously presented) An individualized security document as set forth in claim 1, wherein the transfer film provided with security elements is of surface dimensions adapted to a page of the security document.

17. (Previously presented) An individualized security document as set forth in claim 1, wherein the transfer film provided with security elements is of surface dimensions adapted to a double page of the security document.

18. (Previously presented) An individualized security document as set forth in claim 1, wherein the transfer film includes a means for separating a portion of the base film away from the security document, wherein at least another portion of the base film remains on the security document after the portion of the base film is separated away from the security document along the separation means.

19. (Previously presented) An individualized security document as set forth in claim 18, wherein the means for separation includes at least one perforation through

the transfer film.

20. (Previously presented) An individualized security document as set forth in claim 18, wherein the separation means is included on at least one of the base film and the decorative layer arrangement..

21. (Previously presented) A security document comprising:  
a plurality of individual pages joined to form a book by a means of fixing,  
at least one transfer film joined to one of the pages by the fixing means, the transfer film including a base film and a decorative layer arrangement, the base film being releasably secured to the decorative layer arrangement, the decorative layer arrangement including at least one security element temporarily connected by means of a release layer to a carrier film which is permanently connected to the base film by means of a permanent adhesive, and further wherein on a side remote from the base film the decorative layer arrangement includes an adhesive layer.

22. (Previously presented) A security document as set forth in claim 21, wherein the transfer film includes a means for separating a portion of the base film away from the security document along the separation means, such that upon separation of the portion of the base film from the security document, another portion of the base film remains fixed to the security document.

23. (Previously presented) A security document as set forth in claim 22, wherein the separation means includes at least one perforation.

24. (Previously presented) A security document as set forth in claim 21, wherein the separation means is included on at least one of the base film and the decorative layer arrangement.